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Initiation Plan / GEF PPG

Project Title: Deforestation Free Commodity Supply Chains the Peruvian Amazon

Country: Peru

Country Programme Outcome: UNDAF Outcome 1: By 2021 all vulnerable, poor and discriminated persons have better access to means of subsistence, productive employment and decent work through forms of sustainable development that strengthen social and natural capital and incorporate appropriate risk management. / CPD Output 1: Inclusive and sustainable growth and development; CPD Output 1.1: National and subnational capacities strengthened to implement policies, plans or other instruments of sustainable and inclusive development.

UNDP Strategic Plan Output: Output 2.4.1: Gender-responsive legal and regulatory frameworks, policies and institutions strengthened, and solutions adopted to address conservation, sustainable use and equitable benefit sharing of natural resources, in line with international conventions and national legislation.

Gender Marker rating: GEN 2

SESP Pre-Screening Categorization: High Risk

ATLAS Project ID: 00119383
ATLAS Output ID: 00115875
PIMS ID: 6387
Management Arrangement: DIM

Total budget:	US\$ 75,000
Allocated resources:	
• GEF	US\$ 75,000

AGREED BY

Maria del Carmen Sacasa de Ventura
UN Resident Coordinator for Peru

Signature

Day: 25 July, 2019
Date

TABLE OF CONTENTS

I. Brief Description of the Initiation Plan/GEF PPG.....	3
II. Stakeholder Engagement, public disclosure and other requirements.....	4
III. GEF PPG Activities.....	5
Component A: Preparatory Technical Studies & Reviews	5
Component B: Formulation of the UNDP-GEF Project Document, CEO Endorsement Request, and Mandatory Project Specific Annexes	7
Component C: Validation Workshop and Report.....	10
IV. Total Budget and Work Plan for GEF PPG.....	12
V. Mandatory Annexes	14
Annex 1: GEF CEO PIF/PPG Approval Letter.....	14
Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GEF PPG).....	16

I. BRIEF DESCRIPTION OF THE INITIATION PLAN/GEF PPG

Objective & Final Outputs

The objective of the GEF PPG is to develop the project concept into a full project design, including ProDoc with all Annexes and CEO Endorsement for the project: "Deforestation Free Commodity Supply Chains the Peruvian Amazon." As described in the project concept (PIF), this project aims to "reduce deforestation and land degradation that are caused by increasing production of agricultural commodities in important economic-ecological jurisdictions in the northwestern Amazon of Peru."

The following documents are to be consulted as background for the GEF PPG phase:

- PFD and Child Project Concept note cleared for WP inclusion or GEF Council approved PIF

The following information is to be consulted as background for the GEF PPG phase:

- PIF cleared for WP inclusion or GEF Council approved PIF (PFD and child project concept note for projects that are part of a program)
- SESP pre-screening (of PIF)
- Comments from GEF Secretariat, Council, STAP
- Annotated UNDP-GEF Project Document Template and associated guidance included therein
- UNDP policies and procedures
- GEF policies

The final outputs of the GEF PPG are:

1. UNDP-GEF Project Document (ProDoc).
2. Mandatory annexes to the ProDoc listed in the Annotated UNDP-GEF Project Document Template, including gender analysis and action plan, stakeholder engagement plan, social and environmental safeguards and risk mitigation plans, institutional capacity assessments, cofinance letters among others, and project specific annexes as relevant (e.g., landscape profile, institutional and legal analysis, feasibility studies etc).
3. GEF CEO Endorsement Request including cofinance calculations.
4. Validation Workshop report and documented evidence of stakeholders consultation (as appropriate for projects with a moderate and high SESP risk rating).

Any additional studies and other reports produced under the GEF PPG and not included in Annex to the project document will be submitted to UNDP and saved for future reference.

Key Dates for the GEF PPG

Milestone	Date	Notes
Internal submission date for UNDP-GEF review and clearance	April 14, 2020	10 months of PIF approval for FSPs and 6 months for MSPs.
First GEF Submission Deadline for CEO Endorsement	May 14, 2020	First submission must be within 12 months of PIF approval for FSPs and 8 months for MSPs. Failure to submit a ProDoc and CEO ER to the GEF Sec by this date will lead to the automatic cancellation of the project by the GEF Sec.
CEO Endorsement Deadline after which the project will be cancelled if not endorsed	June 14, 2020	Endorsement must be within 18 months of PIF approval for FSPs and 12 months for MSPs. If the project has not been CEO endorsed by this date, then it will be automatically cancelled by the GEF Sec. The PPG team should aim to have the entire package

		submitted for agency review and UNDP technical and financial clearance 6 weeks before the CEO endorsement deadline
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Management Arrangements

The UNDP Peru Country Office (CO) will lead the project development process and manage the UNDP-administered portion of the GEF PPG budget in full consultation with the UNDP-GEF Senior Technical Adviser and regionally based programme associates. The GEF PPG Atlas budget is presented in *Section IV: Total Budget and Work Plan*.

As appropriate, a Working Group will guide the GEF PPG team, and review and endorse the GEF PPG deliverables. The Working Group is responsible for ensuring that the deliverables outlined in this GEF PPG are completed on time and in line with UNDP and GEF requirements. The UNDP CO will chair the Working Group. Working Group members will include: UNDP Peru Country Office, FAO, IFAD, the Ministry of Environment and the Ministry of Agriculture. In addition, the GEF PPG team will work in close consultation and collaboration with subnational governments, academic institutions, civil society organizations (CSOs), and the private sector.

The GEF PPG team will be composed of the following:

- 1) International Project Design and Monitoring Specialist for Project Development (Team Leader), UNDP
- 2) International Stakeholder Engagement, Social and Environmental Safeguards and Gender specialist, IFAD
- 3) National PPG Coordinator/ Biodiversity Specialist, FAO
- 4) National Expert on Financial Mechanisms and private sector engagement in commodity supply chains, UNDP
- 5) National Expert on Restoration, FAO
- 6) National Expert on Ex-Ante Carbon Balance Tool (EX-ACT) for GHG emissions appraisal, FAO
- 7) National Expert on Environmental and Social Inclusion for smallholder and producer associations capacity assessment, IFAD

Consultants 2 and 7 will be selected, contracted and financed by IFAD; consultants 3, 5 and 6 will be selected, contracted and financed by FAO.

The GEF PPG team will be composed of the consultancies described in the draft Terms of Reference (TORs) in Annex 2.

II. STAKEHOLDER ENGAGEMENT, PUBLIC DISCLOSURE AND OTHER REQUIREMENTS

To ensure strong country ownership, and in line with the stakeholder engagement requirements outlined in UNDP's Social and Environmental Standards (SES), the SES Guidance Note of Stakeholder Engagement, the GEF's Guidelines on the Implementation of the Policy on Stakeholder Engagement and the GEF Policy on Gender Equality, the development of the project to be undertaken during this GEF PPG phase will be done in full consultation and close engagement with government, CSO and other relevant stakeholders – in particular those who will benefit from and be directly involved in the implementation of the project (i.e. direct project beneficiaries) and those who may be impacted (positively or negatively) by the project. Stakeholder Engagement and analysis must be conducted in an inclusive and gender-responsive manner, so that the rights of women and men and the different structural barriers, knowledge, needs, roles and interests of women and men are recognized and addressed, with a particular attention to indigenous peoples.

Careful and complete documentation of stakeholder engagement is vital. Detailed evidence of all consultations will be prepared and submitted to UNDP. A list of the consulted stakeholders and details of the associated meetings will be included in Annex to the project document, in addition to the Stakeholder Engagement Plan (see section B-d below).

Given that the fully designed project has an overall Social and Environment Screening Procedure (SESP) categorization of high, then the following disclosure requirements apply:

1. A final validation workshop report will be prepared summarizing the outcomes of the validation workshop and other consultations undertaken during the PPG phase. This report will become a key reference document should an environmental and social complaint/grievance be filed during project implementation. This is mandatory for high risk projects and recommended for moderate risk projects.
2. The UNDP-GEF project document, SESP and related draft management plans, and other relevant information/documents, will be made available to the public on the UNDP Country Office website or open.undp.org. It is recommended to make these documents available for 30 days in advance of the LPAC meeting for moderate risk projects, and 120 days in advance for high risk projects.

See the [SES Supplemental Guidance on Disclosure](#) for more information.

III. GEF PPG ACTIVITIES

Component A: Preparatory Technical Studies & Reviews

The following technical studies and reviews will be conducted, building on the PIF and the comments received on the PIF (e.g., from GEF Council and STAP).

a. Desktop and field-based studies and data collection

This research should produce the background information required to prepare the ProDoc (including its Annexes) and CEO Endorsement Request, including but not limited to:

- Development challenge and strategy (including threats, problems and barrier assessment);
- Review of national policy and legislative frameworks and relevant territorial planning and governance instruments including those governing the conservation and sustainable use of high value forest ecosystems;
- Problem and solution trees developed in consultation with project stakeholders, for a robust Theory of Change, Results Framework and solid M&E plan;
- Review of relevant past and ongoing projects for baseline data, lessons, including project evaluations; and coordination strategies;
- Identification of partnerships and private sector engagement options, and
- Any other analyses required to address all comments on the PIF received from GEF Secretariat, GEF Council members and STAP

b. Gender Analysis

A gender analysis will be prepared to fully consider the different needs, roles, benefits, impacts, risks, differential access to and control over resources of women and men (including considerations of intersecting categories of identity such as age, social status, ethnicity, marital status, etc.) and to identify appropriate measures to address these and promote gender equality and women's empowerment. See guidance available [here](#).

c. Social and Environmental Standards: Screening and Assessments

The social and environmental safeguards pre-screening (pre-SESP) prepared during the PIF design phase determined the overall risk categorization of this project as High and highlighted potential safeguard risks to be further assessed during the PPG phase.

An Environmental and Social Management Framework (ESMF) will be prepared, to ensure that the required assessments are carried out during the first phase of project implementation. A standard template for an environmental and social management framework is available here: ESMF outline. The ESMF and ProDoc must clearly state that none of the associated project activities will commence until: the assessment(s) have been completed; the required management plan(s) have been prepared; and the plan(s) have been disclosed and approved by the Project Board.

- A full SESP, entailing:
 - A detailed socioeconomic and environmental context assessment to identify, assess, and mitigate any negative consequences on local populations, Indigenous People, particularly vulnerable groups or to men, women and children. In particular, potential gender issues and inequalities will be closely looked at and women will be proactively included in project development and implementation;
 - A list of all potential environmental and social impacts that the implementation of the project outputs/activities may potentially have;
 - A clear identification in the Project Document of the positive environmental and social impacts that will result from the implementation of different components of the project;
 - A rating of the likelihood of the listed negative impacts that could be generated through the implementation of the project;
 - A rating of the level of impact of the listed negative social and environmental impacts;
- Development of appropriate mitigation strategies for the listed negative environmental and social impacts that were rated as having a medium or high likelihood of occurring and with a medium or high level of impact.
- Carrying out any other studies required to feed into the development of an Environmental and Social Management Framework (ESMF) to further assess risks and identify ways to avoid and/or minimize all associated impacts, such as: Child labour assessment in commodities production; Conflict and conflict-resolution analysis; migration risk and health impacts, climate change risk assessment and risk of displacement of vulnerable groups.
- Assessment of any potential impacts of the project on Indigenous Peoples and the proposed project engagement with these stakeholders, following all UNDP SES standards and GEF policies. If Indigenous People are likely to be affected, then Free, Prior Informed Consent (FPIC) mechanisms must be followed and integrated in the project activities. An Indigenous People Plan must also be prepared and annexed to the Project Document.
- Ensure full engagement with local communities and all stakeholders during the PPG phase, and ensure that these are adequately documented and signed -off.

d. Identification of project sites

Based on the above reviews, and through consultation with stakeholders, the targeted project pilot intervention sites will be identified in prioritized provinces and districts along the Abiseo-Condor-Kutukú economic-ecological corridor, including geographic coordinates, and maps for inclusion in ProDoc will be prepared. Coordination strategies with relevant partners will be outlined.

e. Financial planning

Co-financing will be confirmed and additional sources identified through a series of consultations with partners to ensure a coherent and sustainable financing package for the project, including post-GEF grant phase to the extent possible.

f. Stakeholder analysis

Building on the initial identification of stakeholders in the PIF, an appropriately-scaled analysis of project stakeholders will be undertaken. This stakeholder analysis will provide the foundation for development of the project's Stakeholder Engagement Plan and will facilitate prioritization of engagement activities with particular stakeholder groups and individuals. See the UNDP SES Guidance Note on Stakeholder Engagement.

g. Other required studies

The required studies will focus on – but not be limited to - generating the following detailed baseline information, needs and feasibility assessments, and strategies and plans:

- i) Studies to gather relevant information on indicators to inform project design, including:
 - o Identifying and defining the most appropriate outcome indicators to use in the Result Framework.
 - o Collecting baseline data for all selected indicators and clearly outlining the methodology used to define the baseline (could be provided as an annex)
 - o Define all mid-term and end of project targets for all indicators, and clearly justify and rationalize each target.
 - o As a minimum, this information will be collected for selected indicators (biodiversity, mainstreaming, and socio-economic), all GEF core indicators, and the relevant UNDP IRRF indicators.
- ii) Compiling a detailed description of threats, drivers, and impacts, including locally specific threats to biodiversity in the project intervention sites within the Amazon.
- iii) Performing stakeholder analysis of national and local institutions and organizations that will participate in the implementation of the proposed project activities, along with a clear definition of their respective roles in project implementation.
- iv) Assessing capacity-building needs of public institutions for the participatory management of productive landscapes. HACT, PCAT and other assessments will be carried out on time in compliance with existing guidance.
- v) Designing a project intervention strategy for activities related to the promotion and implementation of deforestation-free climate -smart agriculture models, SFM and conservation models, agroforestry and restoration, including associated financial mechanisms and budgets.
- vi) Validating/updating the project baseline scenario and investments.
- vii) Designing the project's knowledge management and awareness raising strategy.

Component B: Formulation of the UNDP-GEF Project Document, CEO Endorsement Request, and Mandatory Project Specific Annexes

Based on the technical studies and reviews undertaken under **Component A**, the UNDP-GEF Project Document will be developed (following the annotated UNDP-GEF Project Document available [here](#)), and the GEF CEO Endorsement Request (available [here](#)) will be prepared. See additional guidance notes below.

The GEF PPG Team Leader will be responsible for the consolidation and finalization of all required materials. This includes all relevant Agency documents that will need to be prepared on time for submission such as the UNDP-GEF ProDoc reflecting the budget portion that each agency will be responsible for and the CEO endorsement that will reflect how the program will be managed as a whole.

Preparation of the UNDP-GEF ProDoc includes a specific focus on the following areas, which do not exhaustively capture the required ProDoc content:

a. Theory of Change

The detailed theory of change (ToC), based on the studies and data collection undertaken in **Component A**, will be developed. The selected approach will be identified, with a clear rationale backed by credible evidence, integrating gender and intercultural concerns into the approach. Additional guidance is available in the Annotated UNDP-GEF ProDoc template.

b. Results Framework

Based on the studies and data collection undertaken in **Component A**, the Results Framework will be further defined with appropriate Objective-level and Outcome-level quantitative and qualitative SMART indicators and mid-term and end-of-project targets. It will be designed in line with the following parameters:

- An outcome should be measurable using indicators. It is important that the formulation of the outcome statement takes into account the need to measure progress in relation to the outcome and to verify when it has been achieved. The outcomes should therefore be specific, measurable, achievable, relevant and timebound (SMART).
- Prepare a maximum of 2-3 indicators for the Objective and each Outcome. Aim to keep the total number of indicators in the results framework (and that require annual reporting to the GEF) to 15-16.
- Give special attention to include gender-responsive outcomes and other socio-economic benefits with adequate and reliable indicators reflected in the results framework.
- Collect baseline data for each indicator using existing national sources when feasible.
- Disaggregate indicators by sex, where appropriate, including number of direct project beneficiaries.
- Set realistic mid-term targets and end-of-project targets that can be achieved by project closure.
- Summarize risks and assumptions, and sources of verification/data.
- Include all relevant UNDP IRRF indicators and all relevant GEF Core Indicators.

c. Total Budget and Workplan

A total budget and workplan will be prepared reflecting the entire allocation to the Peru FOLUR project. Specific Agency budgets will also be prepared to accompany each Agency's submission package. Detailed budget notes will also be prepared and reflected in the CEO endorsement request.

The lead consultant in close coordination with the national coordinator, the UNDP CO, FAO and IFAD, will also facilitate discussions with government and multilateral and bilateral institutions as well as regional authorities and the private sector on co-financing opportunities. Based on that, the lead consultant will finalize the drafting and calculations of the co-financing contributions reflected in the CEO endorsement request and secure co-finance letters for the entire project;

d. Procurement plan

Prepare a FOLUR project Procurement Plan accompanied by a break-down reflecting the budget portion of each Agency. This process will have to be developed under the close supervision of the Regional Procurement Advisor of UNDP and in close communication with FAO and IFAD. Special attention will be put on procurement needs for the 2 first years of the project.

e. Monitoring and Evaluation (M&E) Plan and Budget

The on-the-ground monitoring could be undertaken by national institutes/universities as appropriate. The ProDoc will clarify the roles of various groups involved in project M&E, how project-level monitoring links with data collected at the national level, and the frequency of monitoring. Likewise, specific M&E and reporting protocols will be developed to address human rights, including ethnicity, equity, justice, child labour, cultural heritage and local customs. The monitoring tools to be used will be specified; and the responsibilities for completing the mid-term and terminal GEF 7 Core Indicators (see below) will be clarified. The M&E budget included in the UNDP-GEF Project Document will be completed noting that the total budget should be between 3-5% of the GEF grant.

f. Stakeholder Engagement Plan:

At a minimum, the Plan must include the following elements:

- Stakeholders who have been and will be engaged (based on stakeholder analysis), including potentially marginalized or disadvantaged groups/individuals (the 'who');
- Key stakeholder objectives and interests (the 'why');
- Steps and actions to achieve meaningful consultation and inclusive participation, including information dissemination and any special measures required to ensure inclusive participation of marginalized disadvantaged groups/individuals the dissemination of information (the 'how');
- Breadth and depth of stakeholder engagement throughout the project cycle, and decisions that need to be made through stakeholder engagement (the 'what');
- Timeline for engagement activities and how they will be sequenced, including information disclosure (the 'when');
- Indicators of stakeholder engagement and monitoring plan;
- Roles and responsibilities for ensuring effective stakeholder engagement through implementation of the Plan; and
- Resource requirements and associated budget.
- A project-level Grievance Redress Mechanism (GRM) will be described in the Stakeholder Engagement Plan and established in the first year of project implementation. See the [UNDP guidance on GRM](#) and [sample TOR](#).
- A comprehensive Stakeholder Engagement Plan is required.

See the [UNDP SES guidance on Stakeholder Engagement](#), the [standard SEP template](#), and the [GEF guidelines on stakeholder engagement](#).

g. Gender Action Plan

The gender analysis, along with relevant findings from the stakeholder analysis and background studies, will form the basis of a Gender Action Plan to guide gender mainstreaming during project implementation. The Gender Action Plan must include indicators, targets, timeframe, responsible party and budget which are linked to the project's components/outputs, outcomes and activities. As part of the work of preparing the Gender Action Plan, indicators should be proposed for inclusion in the Results Framework to facilitate the monitoring of the proposed gender mainstreaming actions.

See guidance available [here](#).

h. Social and Environmental Standards: Screening and Management Measures

In line with the assessments conducted during **Component A** (above) and UNDP's Social and Environmental Standards (SES) policy and all associated SES Guidance Notes, the SESP will be updated and all risks identified in the SESP will be reflected in the risk table and risk section of the ProDoc.

The ESMF will identify the required management plans that will be prepared during project implementation.

See the SES Guidance Note on Assessment and Management for further guidance. Please contact UNDP for additional information as needed.

i. GEF Core Indicators

The CEO endorsement-stage data for the relevant Core Indicators and sub-indicators will be prepared. The completed Core Indicators worksheet—with both the original PIF-stage data and the CEO endorsement-stage data—will be annexed to the ProDoc.

The Core Indicators will be used in the project's Results Framework, at the Objective level, where appropriate. See the GEF policy and guidance.

j. Project Management Arrangements

Based on the stakeholder analysis and consultations undertaken in **Component A** above, agreement(s) on project management and governance arrangements—including roles, responsibilities and accountabilities of lead and partner Agencies—will be secured early in the project development phase and will be fully detailed in the ProDoc.

k. Completion of the required official endorsement letters

These letters include the official letters on co-financing guarantee(s) from participating government institutions, bilateral development partners, multilateral development partners, NGOs, private sector, or others who wish to provide cash or in-kind contributions to the project.

l. Knowledge Management Strategy

Based on the results of the capacity development needs assessment and in coordination with the FOLUR global platform, other FOLUR projects, UNDP's environmental team (including the EBD and Climate and Forest teams as well as the Green Commodities programme) as well as relevant technical experts in FAO and IFAD, a knowledge management strategy will be prepared, aiming to communicate the broader picture of the FOLUR IP support to Peru and other FOLUR countries in strengthening their capacities and visibility efforts to transition to sustainable forest management in high value forest ecosystems including implementing deforestation free production practices and policies, sustainable land use systems and restoration mechanisms to ultimately enhance coordination and cross-pollination among stakeholders and international, national and sub-national entities committed to this paradigm shift.

m. Mandatory Annexes:

In addition to the documents listed above, the following Annexes should be prepared by the GEF PPG team: Initial Project Team Procurement Plan and TORs for key Project Team staff; List of people consulted during project development

Component C: Validation Workshop and Report

A validation workshop will be held with relevant stakeholders to present, discuss and validate the project activities, and the final draft of the UNDP-GEF project document if possible. A validation

workshop report will be prepared for projects with an overall safeguards risk rating of moderate or high.

IV. TOTAL BUDGET AND WORK PLAN FOR GEF PPG

Award ID:	00119383
Award Title:	PPG FOLUR GEF7
Business Unit:	PER10
Project ID:	00115875
Project Title:	PPG FOLUR GEF7
Project (PIMS) ID:	6387
Implementing Partner:	UNDP

GEF Outcome/Atlas Activity	Responsible Party	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount US\$	Budget Notes
Project preparation grant to finalize the UNDP-GEF project document for project "Deforestation Free Commodity Supply Chains in the Peruvian Amazon"	UNDP	62000	GEF TRUSTEE	71200	International Consultants	45,500	A
				71300	Local Consultants	15,600	B
				71600	Travel	7,300	C
				72500	Supplies	500	D
				74500	Miscellaneous Expenses	500	E
				75700	Training, Workshop and Conferences	5,600	F
PROJECT TOTAL						75,000	

Budget Note	Items	Total estimated person weeks	Budget	Budget Note
A	International Consultants	13 @ 3,500 p/w	45,500	International Project Design and Monitoring Specialist for Project Development (Team Leader) Please see Annex 2 for key responsibilities.
B	Local Consultants	12 @ 1,300 p/w	15,600	Expert in Financial mechanisms and private sector engagement in commodity supply chains Please see Annex 2 for key responsibilities.
C	Travel		7,300	International and local travel costs associated with project scoping and project document elaboration
D	Supplies		500	Office Materials/ stationary supporting stakeholder consultation and validation workshop
E	Miscellaneous Expenses		500	Includes printing of documents and reports and unexpected costs.
F	Training, Workshop and Conferences		5,600	Workshops for inception, stakeholder consultations and validation.

GEF PPG Activities timeframe and budget

PPG Activity	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8	Month 9	Month 10	Budget (US\$)
Hiring of Team Leader, PPG Coordinator and technical consultants	Shaded										
Inception Workshop		Shaded									
Component A: Technical studies, etc.			Shaded	Shaded	Shaded	Shaded					
Component B: Formulation of ProDoc, etc.					Shaded	Shaded	Shaded				
Component C: Validation Workshop							Shaded	Shaded			
Delivery of final outputs								Shaded	Shaded	Shaded	

V. MANDATORY ANNEXES

Annex 1: GEF CEO PIF/PPG Approval Letter



Naoko Ishii
CEO and Chairperson

16 July, 2019

Mr. Jeffrey Griffin
GEF Coordinator
Food & Agriculture Organization of
United Nations
Viale delle Terme di Caracalls
00100 Rome
Italy

Mr. Pradeep Kurukulasuriya
GEF Executive Coordinator
United Nations Development
Programme
One United Nations Plaza
304 East 45th St.
FF Bldg., 10th floor
New York, NY 10017

Ms. Margarita Astrálaga
Associate Vice President
International Fund for
Agricultural Development
Via Paolo di Dono, 44
00142 Rome
Italy

Dear Ms. Astrálaga, Mr. Griffin, and Mr. Kurukulasuriya:

I am pleased to inform you that I have approved your request for project preparation grant for the child project concept detailed below:

Decision Sought:	Project Preparation Grant (PPG) Approval for FSP Child Project
GEFSEC ID:	10307
Agency(ies):	FAO, UNDP, IFAD
Agency(ies) ID:	
Focal Area:	Multi Focal Area
Project Type:	FSP
Country(ies):	Peru
Name of Child Project:	Deforestation Free Commodity Supply Chains in the Peruvian Amazon
Name of Program:	Food Systems, Land Use and Restoration (FOLUR) Impact Program
Program ID:	10201
Indicative Child Project Financing:	\$13,561,467
Indicative Agency Fee:	\$1,220,533
PPG:	\$200,000
PPG Fee:	\$18,000
Funding Source:	GEF Trust Fund

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Break-down:		PPG (US\$)	PPG Fee (US\$)	Total (US\$)
Agency	Trust Fund			
FAO	GEFTF	75,000	6,750	81,750
IFAD	GEFTF	50,000	4,500	54,500
UNDP	GEFTF	75,000	6,750	81,750
Total		200,000	18,000	218,000

This PPG approval is based on the understanding that it is in conformity with GEF 7 Programming Directions and in line with GEF policies and procedures. Please ensure that: (i) the final child project document incorporates comments from the Secretariat, Council Members and STAP on the PFD that are relevant to the child project; and (ii) the final child project is endorsed/approved by the CEO on or before the program commitment deadline.

Sincerely,



Naoko Ishii
Chief Executive Officer and Chairperson

Copy to: Country Operational Focal Point, GEF Agencies, STAP, Trustee

Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GEF PPG)

Position, Type and Cost	Role, Deliverables and Qualifications
<p>Position: <i>International Project Design and Monitoring Expert for Project Development</i> (GEF PPG Team Leader)</p> <p>Type: IC / International</p> <p>Cost per person week: US\$3,500</p> <p>Number of person weeks needed: 13</p>	<p>Role The International Project Design and Monitoring Specialist for Project Development will be the GEF PPG Team Leader and will be responsible for quality assurance and timely preparation of all reports and documentation, including the finalized Project Document (ProDoc) for UNDP, FAO and IFAD and CEO Endorsement Request, with all mandatory and project specific Annexes and supporting documentation. S/he will be responsible for managing all consultants on the GEF PPG Team, and coordinating the Team’s work.</p> <p>Tasks</p> <ol style="list-style-type: none"> 1) <u>Management of the GEF PPG Team</u> <ol style="list-style-type: none"> a. Define and submit a detailed methodology and work plan in consultation with the other consultants with clear delegation of responsibilities for the National Consultants (NCs); b. Ensure that project development is participatory, gender-responsive and based on extensive stakeholder engagements; and c. Verify and ensure that all project components are technically sound and cost effective; d. Provide an overall orientation to the PPG team in relation to GEF requirements for project planning and monitoring; e. Provide methodological guidance for data collection related to project planning and monitoring, with particular attention given to the description and quantification of the baseline investments. f. Supervise the national consultancies (National PPG Coordinator and other national consultancies) ensuring that deliverables are in accordance with ProDoc needs and requirements; g. Ensure linkages and contribution of the project to the global FOLUR IP platform and the broader Green Commodities community. 2) <u>Preparatory Technical Studies and Reviews (Component A)</u>: With inputs from the other national and international consultants, as detailed in their respective TORs: <ol style="list-style-type: none"> a. Compile baseline/situational analysis for the full-size project (FSP) based on the inputs from local experts and in close cooperation with the key national stakeholders. This will include a precise definition of baseline projects, activities, budgets, goals and co-financial links to GEF outcomes; definition of GEF incremental value per outcome and output; and presentation of results of the incremental cost-analysis in matrices as appropriate; b. Ensure project design is fully aligned with World Bank Food Systems, Land Use and Restoration (FOLUR) Impact Program, which this project falls under. c. Develop knowledge management strategy for project, in coordination with other members of PPG team, as needed, ensuring that project includes regional cooperation and shared learning facilitated through South-South Cooperation, knowledge transfer and cross-border exchanges among communities, among other aspects.

Position, Type and Cost	Role, Deliverables and Qualifications
	<ul style="list-style-type: none"> d. Oversee the stakeholder analysis and consultations and ensure that they are complete and comprehensive; e. Ensure the preparation of the gender analysis and ensure its findings are meaningfully integrated into the project's strategy, ToC, and results framework; f. Ensure action points, including risk assessments, from the UNDP Social and Environmental Screening Procedure (SESP) at the PIF stage ("pre-screening") are fully implemented during the PPG, and update that screening in an iterative fashion throughout the PPG, as appropriate; g. Oversee the identification of the project sites, with documentation of selection criteria; h. Oversee the consultations with partners regarding financial planning; and i. Ensure completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs. j. Define and validate the project outcomes, outputs, and activities based on a logical framework analysis and the results of the PPG studies undertaken by the team of experts; k. Assessing existing monitoring and evaluation systems of relevant institutions for biodiversity in Peru, and providing guidance and orientation to define indicators and quantify targets to track project progress and effectiveness; l. Support a quantified assessment of the project's global environmental benefits for biodiversity conservation and reducing land degradation based on inputs from local experts, and best international practices. <p>3) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B)</u>: With inputs from the other national and international consultants, as detailed in their respective TORs, and based on international best practice:</p> <ul style="list-style-type: none"> a. Develop, present and articulate the project's ToC; b. Develop the Results Framework in line with UNDP-GEF policy; c. Develop a detailed Monitoring and Evaluation Plan and Budget; d. Oversee and ensure the preparation of a Stakeholder Engagement Plan, including Indigenous Peoples Plan, based on inputs from national experts; e. Oversee and ensure the preparation of a Gender Action Plan and Budget; f. Update and prepare a robust SESP based on assessments undertaken during Component A, and ensure the development of Environmental and Social Management Framework for all risks identified as Moderate or High in the SESP; g. Ensure that the required GEF 7 Core Indicators are completed following UNDP and GEF guidelines; h. Oversee and ensure the preparation of a knowledge management strategy; i. Develop communication strategy for the project including budget and team (in liaison with the CO and relevant UNDP/FAO/IFAD communication advisors); j. Secure and present agreements on project management arrangements; k. Ensure the completion of the required official endorsement letters; and l. Synthesize all analyses, studies, etc. that are prepared under Components A and B to produce the draft UNDP-GEF ProDoc, GEF CEO Endorsement, and all mandatory and project specific Annexes, using the required templates;¹ m. Final project documentation shall include: a) socioeconomic benefits of the proposed interventions at the national and local levels; b) environmental, social,

¹ Please verify with the UNDP-GEF team that the correct templates are being used.

Position, Type and Cost	Role, Deliverables and Qualifications
	<p>financial, and institutional sustainability of proposed project activities; c) cost-effectiveness analysis of the project strategy and suite of activities compared with the alternatives; d) replicability strategy for project activities; e) risk analysis of the proposed project activities and measures to mitigate risks; f) incremental cost analysis; updated SESP, Environmental and Social Management Framework and associated studies as needed; and g) budget, among others;</p> <p>n. Drafting Terms of Reference (ToR) for the key staff to be employed by the project;</p> <p>o. Preparing an indicative Procurement Plan, which will be confirmed by the Country Office;</p> <p>p. Working with the CO to select an indicator for one of the outcomes of the Integrated Results and Resources Framework (IRRF);</p> <p>q. Plan/strategy to connect the country work to relevant national, regional and global UNDP (GCP/Climate and Forest), FAO, IFAD and FOLUR platforms with dedicated budget;</p> <p>r. Prepare partnership plan, learning plan and impact monitoring;</p> <p>s. Performing final reviews of the required project documentation.</p> <p>4) <u>Validation Workshop (Component C):</u></p> <p>a. Support the organization and lead the validation workshop to present, discuss and validate the final draft ProDoc and mandatory and project specific annexes, with a special focus on the SESP and any management plans;</p> <p>b. Oversee all necessary revisions that arise during the workshop;</p> <p>c. Ensure completion of Validation Workshop Report.</p> <p>Deliverables</p> <p>a. Consolidation of all technical and consultation inputs including from national stakeholders, UNDP, FAO, IFAD, GEF Secretariat, STAP and GEF Council, into well written and concise ProDoc with all required sections and Annexes for UNDP, FAO and IFAD, based on the standard UNDP-GEF ProDoc template and annotated guidance;</p> <p>b. Completion of the GEF CEO Endorsement Request;</p> <p>c. All documentation from GEF PPG (including technical reports, etc.);</p> <p>d. Validation Workshop Report.</p> <p>Qualifications</p> <ul style="list-style-type: none"> ▪ Master's degree or higher in a relevant Natural Sciences, Environmental Engineering, Social Sciences or Political Sciences; ▪ Minimum 10 years of demonstrable experience in preparing high quality project documents, in particular for UN agencies and GEF projects; ▪ Fluency in written and spoken English and Spanish; ▪ Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches; ▪ Knowledge of and experience in biodiversity conservation and biodiversity mainstreaming in development planning, sector and landscape; ▪ Experiences guiding and managing a team of consultants.
	Role

Position, Type and Cost	Role, Deliverables and Qualifications
<p>Position: <i>International Stakeholder Engagement, Social and Environmental Safeguards and Gender Specialist</i></p> <p>Type: IC/ <i>International</i></p> <p>Cost per person-week: US\$ 2,000</p> <p>Number of person-weeks needed: 14</p>	<p>The Stakeholder Engagement, Social and Environmental Safeguards and Gender Specialist will provide substantive technical input to project design on gender and social inclusion aspects and participatory management of protected areas, natural resources, and ecosystems and will be responsible for preparing the stakeholder engagement plan. The Specialist is expected to document socioeconomic baseline conditions of target communities to address socioeconomic barriers and promote sustainable livelihoods contributing to project design. The Specialist will also carry out a baseline gender analysis and develop a Gender Mainstreaming Action Plan for inclusion in the ProDoc.</p> <p>Tasks</p> <p>1) <u>Preparatory Technical Studies and Reviews (Component A)</u>: Prepare inputs and support the required analyses/studies, as agreed with the PPG Team Leader, including:</p> <ol style="list-style-type: none"> a. Conduct a socioeconomic characterization of the project intervention sites. Data collection should address the different needs, roles, benefits, impacts, risks and access to/control over resources of women and men, and include an assessment of child labour in commodity production in the project intervention areas. The number of project beneficiaries should also be identified; b. Carry out a detailed gender and social inclusion analysis to identify roles, responsibilities and access related to natural resources, productive activities and ecosystem management in the pilot areas. c. Lead and advise on the stakeholder analysis and consultations to identify roles and responsibilities all stakeholders and ensure that they are complete and comprehensive; support the identification and/or consultations with women's groups and otherwise marginalized populations and/or beneficiaries; d. As part of the stakeholder consultations, gather data to feed into the project strategy on institutional strengthening for participatory management of productive landscapes, in coordination with the national PPG coordinator. e. Identify opportunities to change the systems of land use change and production practices through multi-stakeholder collaboration and public-private partnerships, feeding into detailed project design; f. Prepare the Gender Analysis and Gender Mainstreaming Action Plan following UNDP guidelines (e.g., Guide to Gender Mainstreaming in UNDP Supported GEF Financed Projects, v. 1: 27 October 2016 or newer) and work closely with the Team Leader to ensure its findings are meaningfully integrated into the project's strategy, ToC, and results framework; g. Support action points, including risk assessments, from the UNDP Social and Environmental Screening Procedure (SESP) at the PIF stage ("pre-screening") are fully implemented during the PPG, and update that screening in an iterative fashion throughout the PPG, as appropriate, to ensure that all relevant safeguards are in place, in accordance with UNDP and GEF policies. Support collection of information necessary for the drafting of an Environmental and Social Management Framework. Risks to be considered and specifically assessed include: migration risks and associated health impacts; potential conflicts with a conflict-resolution analysis; and risks associated with climate change and displacement of vulnerable groups. h. Assess of any potential impacts of the project on Indigenous Peoples and the proposed project engagement with these stakeholders, following all UNDP SES standards and GEF policies, in order to prepare an Indigenous Peoples Plan.

Position, Type and Cost	Role, Deliverables and Qualifications
	<p>i. Provide methodological orientation to project consultants to ensure the consideration of gender and equity aspects during baseline assessments and deliverables;</p> <p>j. Provide inputs to International Project Design and Monitoring experts on relevant indicators for inclusion in the project's Results Framework.</p> <p>k. Coordinating with the UNDP Country Office to ensure that gender considerations in the project are in line with the UNDP's gender guidelines.</p> <p>2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B):</u> Prepare inputs and support the required analyses/studies, as agreed with the PPG Team Leader, including:</p> <p>a. Prepare the Stakeholder Engagement Plan, including an Indigenous Peoples Plan;</p> <p>b. Prepare the Gender Action Plan and Budget;</p> <p>c. Prepare succinct summary of the project strategy vis a vis institutional strengthening for participatory management of productive landscapes</p> <p>d. Contribute to updating the SESP, as needed, based on assessments undertaken during Component A;</p> <p>e. Lead the development of Environmental and Social Management Framework for all risks identified as Moderate or High in the SESP;</p> <p>f. Define gender-related, socioeconomic/development indicators, their baseline and targets, including, among others, the number of project beneficiaries.</p> <p>g. Support agreements on project management arrangements.</p> <p>h. Provide technical support to ensure the project intervention strategies fully integrate the GEF's social and environmental safeguards in alignment with UNDP, FAO and IFAD guidelines, incorporating inputs and revisions as necessary for compliance.</p> <p>3) <u>Validation Workshop (Component C):</u></p> <p>a. Contribute to the inception workshop and the validation workshop; and</p> <p>b. Support all necessary revisions that arise during the workshop, as appropriate.</p> <p>Deliverables:</p> <p>a. Report on the socioeconomic characterization of the project intervention areas;</p> <p>b. Stakeholder Engagement Plan, including Indigenous Peoples Plan;</p> <p>c. Gender Analysis and Mainstreaming Action Plan, including Budget;</p> <p>d. Updated SESP and Environmental and Social Management Framework.</p> <p>e. Succinct document with project strategy vis a vis institutional strengthening for participatory management of protected and productive landscapes</p> <p>Qualifications</p> <ul style="list-style-type: none"> ▪ Professional degree or higher in social sciences (Sociology, Anthropology, Social Studies, Environment and Development, Women Studies, etc.); ▪ Minimum 5 years of demonstrable experience and knowledge in the field-level, including gender relations and social inclusion; ▪ Fluency in written and spoken English and Spanish, knowledge of English is considered an asset. <p>Analytical capacity and ability to synthesize relevant collected data and findings for the preparation of quality analysis for the project proposal.</p>
	Role

Position, Type and Cost	Role, Deliverables and Qualifications
<p>Position: <i>National PPG Coordinator/BD Expert</i></p> <p>Type: <i>IC / Local</i></p> <p>Cost per person week: <i>US\$1,300</i></p> <p>Number of person weeks needed: <i>36</i></p>	<p>The PPG Coordinator/BD Expert will coordinate the work of the team of national consultants and will provide all required support to the International Project Design and Monitoring Expert in the drafting of the project documentation in full and following UNDP and GEF guidelines. This consultant will also be responsible for compiling and preparing all required biodiversity and commodity production-related inputs.</p> <p>Tasks</p> <p><u>Management of the National GEF PPG Team</u></p> <ul style="list-style-type: none"> • Ensure national consultants within the PPG team produces deliverables as per established timeline. • Review deliverables of national PPG team members to ensure adherence to Terms of Reference and share with the International Project Design and Monitoring expert for technical review and inclusion in ProDoc. <p><u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u></p> <ul style="list-style-type: none"> • Compile a detailed description of threats, drivers, and impacts, including locally specific threats to biodiversity in the project landscapes and target areas; • Collect the baseline data on management effectiveness of PA's and their buffer zones in target project intervention sites; • Collect the baseline data on coffee, cacao and palm oil commodity production and supply chains in the target intervention sites, as well as data on land degradation and restoration. • Identify biodiversity conservation impact indicators and define baseline values and conservation targets, including for GEF 7 core indicators; • Liaise with government departments as needed to refine the calculation of the project target for greenhouse gas emissions reductions; • Identify key priority actions to be implemented through the project aligned with key national forest conservation and management instruments, action plans related to sustainable commodity production and land restoration to raise awareness and strengthen multi-level enforcement; • Liaise with relevant government agencies to gather relevant detail and data (socioeconomic and geospatial) for project outputs related to the development of integrated landscape management systems for improved control and monitoring of land use change, as well as to the conservation and restoration of natural habitats. • Provide inputs for knowledge management and awareness-related activities regarding biodiversity conservation and sustainable commodity production in the Peruvian Amazon. • Design specific project interventions related to the development and implementation of integrated landscape management systems • Design specific project interventions related to promoting sustainable deforestation-free commodities and responsible value chains with strong

Position, Type and Cost	Role, Deliverables and Qualifications
	<p>private sector involvement, including implementation of innovative technology; strengthening of multi-stakeholder participation; establishment of partnerships with domestic and international buyers; increasing capacities of smallholders; establishment of landscape-level financial strategies; improvement of sourcing and traceability standards, among others.</p> <ul style="list-style-type: none"> • Ensure the integrity and coherence of the project, and conduct and articulating the contributions of the different national specialists; • Ensure that GEF Sec, GEF Council, and/or STAP-related recommendations are properly addressed, together with the International Project Design and Monitoring Expert; • Hold meetings and consultations with relevant institutions (UNDP, FAO and IFAD, participating government institutions, bilateral development partners, multilateral development partners, and NGOs) to secure the co-financing and ensuring the receipt of co-financing letters, including the private sector; • Hold meetings and consultations with relevant institutions to confirm the project's management and governance arrangements (e.g., Composition of Project Board, etc.) and if requested by government facilitate discussions on direct project costs to be incurred by UNDP, FAO and IFAD. • Identify baseline projects, policies, plans and investments • Oversee the organization of the inception workshop and the validation workshop in a timely fashion, with the support of the PPG assistant, and support all necessary revisions that arise during the workshop, as appropriate; • Provide support to the International Project Design and Monitoring Expert in the drafting of the project documentation in full and following GEF and UNDP, FAO and IFAD guidelines. Support will include: baseline analysis, project logical framework and indicators, quantified description of the global environmental benefits (GEBs) of the project, risk analysis and mitigation strategy, sustainability of proposed project activities, replication of project activities, project budget, and definition of the TORs for the key consultants/contracts to be employed by the project. <p>Deliverables:</p> <ul style="list-style-type: none"> • Report on baseline national projects, policies, plans and investments • Succinct Inception Workshop Report and Validation Workshop Report (in coordination with the PPG Assistant). • Report on threats to biodiversity in project landscapes, drivers and impacts • Report summarizing proposed project intervention strategies as per different studies listed above related to biodiversity, sustainable commodity production, land restoration, etc. • Report, with attachments as necessary, summarizing project management arrangements, including co-financing letters; • Suggested indicators for biodiversity impact and conservation for inclusion in the Project Results Framework. <p>Qualifications</p>

Position, Type and Cost	Role, Deliverables and Qualifications
	<ul style="list-style-type: none"> • Professional degree or higher in Natural Sciences, Environmental Engineering, Social Sciences, Political Sciences or related subjects; • Minimum 5 years of demonstrable experience designing and/or implementing territorial governance and/or environment and natural resources management initiatives; direct experience in biodiversity projects, sustainable commodity value chains, land restoration or similar projects is desirable; • Familiarity and up-to-date with national and local policies, programs, projects and initiatives on biodiversity conservation, land restoration, forest management, sustainable commodity production and sustainable development. • Strong analytical, writing and synthesis skills • Fluency in written and spoken English and Spanish;
<p>Position: <i>National</i> Expert on Financial mechanisms and private sector engagement in commodity supply chains</p> <p>Type: <i>IC/ Local</i></p> <p>Cost per person-week: <i>US\$ 1,300</i></p> <p>Number of person-weeks needed: <i>12</i></p>	<p>Role The Expert in Financial mechanisms and private sector engagement in commodity value chains will provide technical inputs for project design regarding strategies for private sector engagement and the design and implementation of financial mechanisms to promote the adoption sustainable commodity production practices and business models, that incorporate the conservation of biodiversity and ecosystem services in the Peruvian Amazon. The expert will regularly liaise and seek the feedback of relevant specialists on commodity supply chains and private sector engagement in UNDP (GCP and others), FAO and IFAD.</p> <p>Tasks</p> <ol style="list-style-type: none"> a. Carry out a stakeholder analysis and mapping to identify key private sector actors (economic and financial) involved in the target landscape and along the commodity supply chain, identifying their roles and functions. b. Identify successful financial mechanisms (eg. credit, incentives, insurance) for the implementation of sustainable commodity production practices and resource management, with special attention to initiatives in the target landscape. c. Analyze current finance and investment flows towards biodiversity conservation and forest management from key sectors (eg. Ministry of Environment, Ministry of Economy and Finance) as well as different government levels (national, regional and local). d. Scope out and map out relevant international and global companies and networks for possible partnerships in the project and identify institutional, financial and policy conditions to facilitate their engagement. Articulate their contribution in terms of the Impact Programme's theory of change e. Conduct and systematize meetings with private sector actors and financial institutions to gauge interest in the development of possible partnerships and in supporting the development and implementation of financial mechanisms. f. Identify sustainability commitments, including the gaps, among key private sector companies in target geographies analyze their investment and capacity building needs over the next years. g.

Position, Type and Cost	Role, Deliverables and Qualifications
	<ul style="list-style-type: none"> h. Conduct an analysis of barriers faced by producers and smallholders in the target landscape and along the local supply chain with regards to access to markets and finance to adopt sustainable production practices and business models, considering different producer and smallholder groups/types that will be engaged through project activities. i. Propose strategies, market incentives and financial mechanisms that may be further developed and incorporated into the project's activities, to ensure the financial sustainability of the project's strategies to support the conservation of biodiversity and ecosystem services through sustainable productive models. j. Define indicators to measure project impact in terms of financial sustainability, including baselines and targets, to be included in the project's results framework. k. Prepare inputs and support the development of final PPG deliverables - ProDoc, CEO Endorsement Request and mandatory and project specific Annexes <p>Deliverables</p> <ul style="list-style-type: none"> i. Private and financial sector stakeholder mapping (national and global) and characterization for the target landscape. ii. Report with a detailed analysis of the current financial situation and existing financial mechanisms and financial flows and investments for the implementation of sustainable commodity production practices and resource management; iii. Report with an analysis of barriers faced by producers and smallholders to access markets and finance to promote the adoption of sustainable commodity production practices. iv. Proposal of financial strategies and mechanisms to ensure the financial sustainability of the project's strategies to support biodiversity and ecosystem services conservation through sustainable production models. v. Proposal of indicators to measure project impact in terms of financial sustainability, including baselines and targets, to be included in the project's results framework. vi. Proposal for a corporate partnerships and investments strategy including provisions for the development of priority linkages with global/international companies and regional and national market players as well as the mechanisms to ensure their full engagement in the project vii. Capacity needs assessment report for smallholders including LG officials. This deliverable also includes proposed outlines for capacity building trainings viii. Specific company (producer, manufacturer or retailer) partnerships, commitments and co-financing agreements ix. Proposed Public Private Partnership (PPP) mechanisms/business-cases to support sustainable

Position, Type and Cost	Role, Deliverables and Qualifications
	<p>value chains carefully coordinating this work with the project team of the GEF 6 amazon landscape project</p> <p>x. Strategy to maximize the interactions between this project and the GEF-6 amazon landscape project on issues related to commodity supply chains and private sector engagement</p> <p>xi. ToRs for technical consultancies for the implementation phase .</p> <p>Qualifications</p> <ol style="list-style-type: none"> 4) Professional degree or higher in Economics, Business Administration, Environmental Sciences or related subjects. 5) Minimum 7 years demonstrable experience and knowledge in microfinance, environmental finance, environmental management, productive development and/or natural resource conservation, preferably in the context of the Peruvian rainforest and Amazon region. 6) Minimum 3 years demonstrable experience in the design and/or application of financial mechanisms for sustainable agriculture, environmental management and/or ecosystems conservation and/or forest management. 7) <u>Highly preferred</u> demonstrated ability to connect with national and global coffee and cocoa buyers and experience in negotiating partnerships with the buyers both at national and international level 8) Strong analytical, writing and synthesis skills 9) Fluency in written and spoken English and Spanish;
<p>Position: <i>National Expert on Restoration</i></p> <p>Type: <i>IC / National</i></p> <p>Cost per person week: <i>US\$2,500</i></p> <p>Number of person weeks needed: <i>4</i></p>	<p>Tasks</p> <ul style="list-style-type: none"> • Analyze and prepare a road map for an effective and economic approach for LDN in Peru. • With the support of the PPG coordinator and other national experts, support identification a list best practices in the field of SLM that can be applicable to Peru in the context of LDN • Carry out an analysis of national action plans, strategies, legal and institutional frameworks for future alignment with LDN, including REDD initiative and Restoration Initiative. • Support stakeholder analysis and an assessment of capacity development needs (e.g. trainings, workshop, field visit, public awareness campaign) both for government staff and for grass roots level. • Analyze the country needs to integrate the LDN approach and country priorities into the sub-national and national level strategic planning processes. Prepare a report proposing project activities that will ensure this integration is realized during the project's life. • Carry out an analysis to propose activities for the development of the Component 2. • provide overall implementation support to the GEF project and provide technical coordination support for smooth implementation of the training/workshop; • collect data and generate outputs for LDN targets; • perform other related duties as required.

Position, Type and Cost	Role, Deliverables and Qualifications
	<p>Deliverables:</p> <ul style="list-style-type: none"> • Report summarizing existing programs that can constitute the baseline analysis for the GEF project • Report summarizing legal framework applicable to LDN in the country • Draft project activities for the Component 2 • Delivery of LDN data output • Provide workshop/training on the assessment on Land Use, pastureland and land degradation trends with Collect Earth • Customize the Survey and sampling design. • Support the assessment and data collection • Other analysis and reports delivered as requested
<p>Position: Expert in <i>EX-ACT Tool for GHG emissions appraisal</i></p> <p>Type: IC/ <i>International</i></p> <p>Cost per person-week: <i>US\$ 2,800</i></p> <p>Number of person-weeks needed: 2</p>	<p>Role The Ex-Ante Carbon Balance Tool (EX-ACT) specialist will provide a Greenhouse Gas (GHG) appraisal of the project “Deforestation free Commodity Supply Chains in the Peruvian Amazon”.</p> <p>Tasks</p> <p>1) <u>Technical Support for the Carbon-Balance Estimation</u></p> <p>a. Perform a GHG appraisal based on the most recent documentation/information related to the project formulation:</p> <ul style="list-style-type: none"> • Identification of the different activities within the project • GHG appraisal using a Tier 1 approach which involves identification of ad-hoc emission factors and carbon stock. <p>b. Other tasks may include, as applicable:</p> <ul style="list-style-type: none"> - Coordinate data collection for the application of the EX-ACT tool and any other relevant tool to provide precise accounting for GHG in the project. - Conduct full GHG assessments of investment using the EX-ACT tool. - Research country specific and/or project activity specific GHG emission factors where default coefficients from the Intergovernmental Panel on Climate Change (IPCC) are not available or not applicable. - Support the design with a dedicated working paper in English that will present, articulate and contextualize the produced carbon accounting. The working paper will include, among the others, the followings: <ul style="list-style-type: none"> • Analysis of the current GHG scenario with clear identification of emission trends; • Analysis of the mitigation potential of the project versus the Business as Usual Scenario; • Identification of the baseline and of project’s targets (mid-term and final) together with the appropriate SMART indicators as well as of the means of verification; • Clear description of the used methodology. <p>Deliverables</p> <p>a. An EX-ACT report is prepared to provide an ex-ante appraisal of the carbon-balance of the joint GEF project. The calculated ex-ante carbon balance is intended to complement conventional ex-ante analyses of economic results and</p>

Position, Type and Cost	Role, Deliverables and Qualifications
	<p>environmental impacts which are undertaken while investment projects and development policies are being planned. A number of its outputs can moreover be used in financial and economic analysis. This appraisal also provides the impact of the project on other forms of natural capital, such as the increase in biomass and soil organic carbon, which directly affect the climate resilience of landscapes and watersheds.</p> <p>Qualifications</p> <ul style="list-style-type: none"> ▪ Master with a focus at Natural Sciences, Environmental Engineering, Social Sciences or any related field; ▪ Minimum 1 years of demonstrable experience in carbon balance accounting with the EX-ACT Tool; ▪ Fluency in written and spoken English and Spanish;
<p>Position: National Expert on Environmental & Social Inclusion for smallholder and producer associations capacity assessment</p> <p>Cost per person week: US\$1,300</p> <p>Number of person weeks needed: 12</p>	<p>Role The Expert on Environmental & Social Inclusion for Smallholder and Producer Associations will provide technical inputs for project design regarding the environmental, climate and social inclusion strategies for the preparation of the ProDoc and SESP</p> <p>Tasks</p> <ol style="list-style-type: none"> a. Participation on the interdisciplinary GEF PPG Team b. Carry out a detailed environmental, geographical, organizational aspects, associativity, gender approaches, youth, interculturality and social context assessment to identify, assess, and mitigate any negative consequences on local populations, Indigenous People, particularly vulnerable groups; c. Elaborate a list of all potential environmental and social impacts that the implementation of the project outputs/activities may potentially have; d. Identification of the positive environmental, climate and social impacts that will result from the implementation of different components of the project; e. Elaborate a list and rating of the level of impact of the negative environmental and social impacts; f. Contribute to the development of the project's ToC; g. Deliver technical inputs to update and prepare a robust Social Environmental Screening Procedure-SESP h. Contribute to the development of Environmental and Social Management Framework for all risks identified as moderate or high in the SESP; i. Identify and define appropriate outcome indicators related to environmental, climate and social inclusion to use in the Result Framework; j. Collect baseline data for all selected indicators and clearly outlining the methodology used to define the baseline; k. Propose mid-term and end of project targets for environmental, climate and social inclusion indicators, providing justification and rational. l. Identifying and defining the most appropriate outcome indicators to use in the Result Framework. m. Collecting baseline data for all selected indicators and clearly outlining the methodology used to define the baseline (could be provided as an annex) n. Propose and define mid-term and end of project targets for environmental and social indicators, and clearly justify and rationalize each target. <p>Deliverables</p>

Position, and Cost	Type	Role, Deliverables and Qualifications
		<ol style="list-style-type: none"> 1. Report of environmental and organizational aspects, associativity, gender, youth, interculturality and social assessment; 2. List and rating of the level of potential (positive and/or negative) environmental and social impacts that will result from the implementation of different components of the project; 3. Technical inputs to update and prepare a Social Environmental Screening Procedure-SESP as well as to the development of Environmental and Social Management Framework for all risks identified as moderate or high in the SESP; 4. Proposal of outcome indicators related to environmental, climate and social inclusion to use in the Result Framework; 5. Report of baseline data for selected indicators, outlining the methodology used to define the baseline; 6. Proposal of mid-term and end of project targets for environmental, climate and social inclusion indicators, providing justification and rational. <p>Qualifications</p> <ol style="list-style-type: none"> 1. Degree in a relevant Natural Sciences, Forestry Engineering, Environmental Engineering, Social Sciences, Political Sciences or related areas; 2. Minimum 5 years of demonstrable experience in preparing high quality project documents and environment, climate and social assessment, in particular for UN agencies and GEF projects; 3. Fluency in written and spoken English and Spanish is desirable; 4. Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches; 5. Knowledge of and experience in environment and climate mainstreaming in development planning, and social inclusion; 6. Experience working in multidisciplinary teams. 7. Analytical capacity and ability to synthesize relevant collected data and findings for the preparation of quality analysis for the project proposal.



Annual Work Plan

Peru - Lima

Project: 00119383

Report Date: 25/7/2019

Project Title: PPG Commodities libre de deforestation

Year: 2019

Output	Key Activities	Timeframe		Responsible Party	Planned Budget			Amount US\$	
		Start	End		Fund	Donor	Budget Descr		
00115875 Deforestation free commodity	A1 Preparacion PRODOC	1/6/2019	11/12/2020	UNDP	62000	GEFTrustee	71600	Travel	7,300.00
				UNDP	62000	GEFTrustee	71200	International Consultants	35,000.00
				UNDP	62000	GEFTrustee	71300	Local Consultants	15,600.00
				UNDP	62000	GEFTrustee	72500	Supplies	500.00
				UNDP	62000	GEFTrustee	74500	Miscellaneous Expenses	500.00
				UNDP	62000	GEFTrustee	75700	Training, Workshops and Confe	4,000.00
TOTAL									62,900.00
GRAND TOTAL									62,900.00



Annual Work Plan

Peru - Lima

Project: 00119383
 Project Title: PPG Commodities libre de deforestacion
 Year: 2020
 Report Date: 25/7/2019

Output	Key Activities	Timeframe		Responsible Party	Planned Budget			Amount US\$	
		Start	End		Fund	Donor	Budget Descr		
00115875 Deforestation free commodity	A1 Preparacion PRODOC	1/6/2019	31/12/2020	UNDP	62000	GEFTrustee	71200	International Consultants	10,500.00
				UNDP	62000	GEFTrustee	75700	Training, Workshops and Confer	1,600.00
TOTAL									12,100.00
GRAND TOTAL									12,100.00